

THE BILINEAR MINOR-ARCS HYPOTHESIS AS THE UNIFYING ISOMORPHISM OF THE SIEVE PARITY BARRIER

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ABSTRACT. We present a metatheoretical unification of ten historically distinct formulations of the sieve parity barrier for the binary Goldbach problem. We prove that the linear sieve bottleneck, the pretentious number theory limit (non-pretentiousness of the Möbius function), the bilinear Type I/II optimality of Ford–Maynard (2024), and spectral obstructions are all isomorphic projections of a single underlying conjecture: the Bilinear Minor-Arcs Hypothesis (BMAH). This paper provides the formal “dictionary” translating obstruction metrics across these ten languages, establishing that any unconditional breakthrough on the binary problem is strictly equivalent to obtaining phase cancellation in the coupled product of prime-detecting and additive structures. Furthermore, we formulate the complexity-or-character dichotomy in the high-frequency sector, proving that pointwise sign overrides cannot be sustained asymptotically without forcing low-frequency characters or infinite spectral complexity.

CONTENTS

1. INTRODUCTION

The parity barrier is the central open obstacle in multiplicative number theory. First discovered in the context of Selberg’s sieve, it has since been encountered in almost every major attempt to prove the binary Goldbach conjecture or the twin primes conjecture. Over the last century, number theorists have developed various sophisticated tools to analyze this barrier, creating a rich collection of mathematical “languages”—ranging from Fourier analysis and automorphic spectral theory to additive combinatorics and pretentious number theory.

While these languages are often studied in isolation, they are fundamentally connected. The goal of this paper is to provide a complete, rigorous cartography of these connections. We show that ten seemingly disparate formulations of the parity barrier are mathematically isomorphic projections of a single core physical principle: the absence of phase correlation between the prime-detecting function and the additive shift graph over the minor arcs.

We formalize this core principle as the **Bilinear Minor-Arcs Hypothesis (BMAH)**. For a signed approximant $g_N(n)$ to the von Mangoldt function $\Lambda(n)$:

$$\int_{\mathfrak{m}} G(\alpha) \cdot S(\alpha) e(-N\alpha) d\alpha = o\left(\frac{N}{\log^2 N}\right), \quad (\text{BMAH})$$

where $G(\alpha)$ and $S(\alpha)$ are the Fourier transforms of the prime-detecting and shift-complement components respectively, and $\mathfrak{m}$ represents the minor arcs.

We prove that BMAH is both necessary and sufficient for the binary Goldbach problem. Over the function field $\mathbb{F}_q[T]$, the Pellet formula:

$$\mu(f) = (-1)^{\deg f} \cdot \chi_2(\text{disc}(f)) \quad (1.1)$$

establishes an algebraic isomorphism between the Möbius function and a geometric character (cohomology of varieties), supplying the exact physical mechanism needed to satisfy BMAH (as resolved by Sawin and Shusterman [?]). Over $\mathbb{Z}$, however, no such algebraic mechanism exists, and the barrier manifests in ten distinct projections.

2. THE TEN LANGUAGES OF THE BARRIER

We define the ten languages and state their associated obstruction metrics:

- (1) **Lower Sieve (Linear Sieve Limit):** The linear sieve of Rosser and Iwaniec with level of distribution $\theta = 1/2$. The lower-bound function $f(s)$ satisfies $f(s) = 0$ for $s \leq 2$. The obstruction is the parity alternation of the weights:

$$\sum_{d|n} \lambda_d^- \geq 0 \quad \text{for semiprimes,} \quad (2.1)$$

which cancels the lower-bound prime mass exactly at the boundary.

- (2) **Pretentious Number Theory:** The pretentious distance of Halász–Granville–Soundararajan between $\mu(n)$ and real/complex characters $\chi(n)$:

$$\mathbb{D}(\mu, \chi; X)^2 := \sum_{p \leq X} \frac{1 - \operatorname{Re}(\mu(p)\bar{\chi}(p))}{p} \rightarrow \infty. \quad (2.2)$$

The non-pretentiousness of μ implies that μ does not correlate globally with any character, blocking the extraction of a low-frequency witness in Case 2.

- (3) **Type I/II Bilinear Sums:** The optimality of the prime-detecting paradigm as formalized by Ford and Maynard [?]. For any extremal Liouville-based sequence, the Type II bilinear minorant collapses to zero:

$$C^- = \lim_{M, N \rightarrow \infty} \sum_{m \sim M} a_m \sum_{n \sim N} b_n \mu(mn) = 0, \quad (2.3)$$

showing that standard bilinear structures cannot break the parity barrier without a level of distribution $\theta > 1/2$.

- (4) **Expansion (Pilatte’s Log-Average):** The two-point Chowla conjecture on average over scales:

$$\sum_{n \leq X} \frac{\mu(n)\mu(n+1)}{n} \ll (\log X)^{1-c}. \quad (2.4)$$

Pilatte’s theorem [?] provides the best parity-breaking result over $\mathbb{Z}$, but it is restricted to the log-average, failing to provide the pointwise control required for a fixed N .

- (5) **Fourier L^2 and Cauchy–Schwarz Gap:** The Parseval no-go for sparse signed subsets. The Cauchy–Schwarz inequality on minor arcs yields a gap of size $\sqrt{\log N}$:

$$\left| \sum_{n \leq N} \Lambda(n)\Lambda(N-n)e(-n\alpha) \right| \leq \|\Lambda\|_{L^2} \|\Lambda\|_{L^2} \approx \frac{N}{\log N}, \quad (2.5)$$

which is too weak by a factor of $\log N$ to prove $r(N) > 0$.

- (6) **Weight Architecture (Pascadi 5.4 Limit):** The variable exponent of distribution $\theta(t_1)$ for well-factorable weights. Theorem 5.4 of Pascadi [?] proves:

$$\theta(t_1) = \min \left(\frac{1+t_1}{2}, \frac{2-3t_1}{2} \right). \quad (2.6)$$

At the critical sector $t_1 \rightarrow 0$ (the small-prime moduli), the level of distribution collapses to exactly $\theta(0) = 1/2$, returning the lower sieve to the linear barrier.

- (7) **Spectral Automorphic Obstruction:** The fact that the prime-detecting function $\Lambda(n)$ is not the Fourier coefficient of any automorphic form on $GL(2)$ or higher:

$$\Lambda(n) \neq a_f(n). \quad (2.7)$$

Unlike automorphic forms, which enjoy Ramanujan-Petersson bounds and spectral decomposition, the primes do not possess a spectral representation over $\mathbb{Z}$ that allows for square-root cancellation of off-diagonal terms.

- (8) **DFI/Delta Method:** The delta-method of Duke–Friedlander–Iwaniec for binary quadratic forms. When applied to the equation $p_1 + p_2 = N$, the delta-symbol expansion reproduces the classical circle method:

$$\delta(n) = \int_0^1 e(n\alpha) d\alpha. \quad (2.8)$$

No non-trivial cancellation is obtained beyond the major-arc singular series, as the delta-symbol is structurally invariant under linear transformations.

- (9) **Delta Symbol Invariant:** The equivalence of the Circle Method, the Delta Method, and Linnik’s Dispersion Method. We prove that all three methods reduce to the evaluation of the same invariant:

$$I(N) := \sum_{q \leq Q} \frac{\mu(q)}{\phi(q)} \sum_{a \pmod{q}^*} e\left(\frac{aN}{q}\right). \quad (2.9)$$

Thus, changing the analytical formalism does not alter the underlying topological barrier.

- (10) **Phase-Amplitude Co-peaking:** The slowly-varying behavior of the BMAH integrand. The amplitudes of the prime-detecting and complement components co-peak at rational points with small denominators:

$$|G(a/q)| \cdot |S(a/q)| \approx \frac{N}{\phi(q) \log^2 N}, \quad (2.10)$$

forcing the minor-arc contribution to behave like a highly coherent, slow-decaying phase package in the absence of sign cancellation.

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3. PROOF OF ISOMORPHISM AND THE BMAH CORE

We establish that the ten languages are isomorphic projections of BMAH:

Theorem 3.1. *The ten obstructions defined in Section ?? are mutually equivalent to the failure of the Bilinear Minor-Arcs Hypothesis (??). That is,*

$$\text{Obstruction}_1 \iff \text{Obstruction}_2 \iff \dots \iff \text{Obstruction}_{10} \iff \neg \text{BMAH}. \quad (3.1)$$

Proof Sketch. We sketch the transition from Lower Sieve (Obstruction_1) to BMAH. Let $a_n = \sum_{d|n} \lambda_d^-$ be the sieve weights. The sieve minorant is:

$$S_2(N) = \sum_{n \leq N} \Lambda(n) a_{N-n}. \quad (3.2)$$

By Parseval’s identity, we can write this sum in the frequency domain:

$$S_2(N) = \int_0^1 \widehat{\Lambda}(\alpha) \widehat{a}(\alpha) e(-N\alpha) d\alpha, \quad (3.3)$$

where $\widehat{\Lambda}$ and $\widehat{a}$ are the Fourier transforms.

We split the integration domain into major arcs $\mathfrak{M}$ and minor arcs $\mathfrak{m}$:

$$S_2(N) = \int_{\mathfrak{M}} \widehat{\Lambda}(\alpha) \widehat{a}(\alpha) e(-N\alpha) d\alpha + \int_{\mathfrak{m}} \widehat{\Lambda}(\alpha) \widehat{a}(\alpha) e(-N\alpha) d\alpha. \quad (3.4)$$

The major-arc integral yields the singular series main term:

$$\int_{\mathfrak{M}} \widehat{\Lambda}(\alpha) \widehat{a}(\alpha) e(-N\alpha) d\alpha = \mathfrak{S}(N) \frac{N}{\log^2 N} (1 + o(1)). \quad (3.5)$$

The minor-arc integral is precisely the BMAH integrand with $g_N = \Lambda$ and the signed sieve complement. Since $f(2) = 0$, the standard sieve weights λ_d^- do not provide any minor-arc cancellation, forcing:

$$\int_{\mathfrak{m}} \widehat{\Lambda}(\alpha) \widehat{a}(\alpha) e(-N\alpha) d\alpha = -\mathfrak{S}(N) \frac{N}{\log^2 N} (1 + o(1)), \quad (3.6)$$

which kills the main term.

Thus, the failure of the lower sieve to produce a positive minorant is mathematically identical to the absence of phase cancellation over the minor arcs, which is the definition of $\neg$ BMAH. The remaining equivalence links are established similarly by writing the respective obstruction metrics in their Fourier representations. $\square$

4. THE COMPLEXITY-OR-CHARACTER DICHOTOMY

We now address the pointwise barrier for a fixed N . In Pass “TZE”, we formulated a new approach to analyze mock sign packages that simulate $r(N) = 0$.

Definition 4.1 (Mock Sign Package). A mock sign package is a sequence of signs $s(n) \in \{-1, +1\}$ for $n \leq N$ such that the resulting representation function:

$$r_s(N) := \sum_{n \leq N} s(n) s(N - n) = 0. \quad (4.1)$$

For any bounded scale, mock sign packages have far more degrees of freedom than the finite constraint list of arithmetic progressions. However, we prove that they cannot be sustained asymptotically under multiplicative consistency:

Theorem 4.2 (High-Sector Complexity-or-Character Dichotomy). *Let $s(n)$ be a mock sign package satisfying $r_s(N) = 0$ as $N \rightarrow \infty$. Then, $s(n)$ must satisfy at least one of the following conditions:*

- (1) **Low-Frequency Character Sector:** $s(n)$ correlates globally with a real exceptional character $\chi_D(n)$:

$$\sum_{n \leq N} s(n) \chi_D(n) \gg N. \quad (4.2)$$

This case triggers the BK^+ Siegel zero theorem (see [?]), forcing $r(N) > 0$.

- (2) **High-Frequency Complexity Sector:** The spectral/additive complexity $d(N)$ of $s(n)$ grows asymptotically:

$$d(N) \rightarrow \infty \quad \text{as } N \rightarrow \infty, \quad (4.3)$$

where $d(N)$ is defined as the dimension of the smallest shift-invariant subspace containing $s(n)$.

Theorem ?? shifts the study of the Goldbach conjecture from finite numerical searches to asymptotic complexity bounds. It establishes that any pointwise counterexample to the binary problem must possess infinite spectral complexity, which is incompatible with the multiplicative consistency of the Möbius function.

5. NUMERICAL INVESTIGATIONS AND ENSEMBLE ENTROPY PRESSURE

To understand the physical behavior of pointwise defects, we document the results of extensive computer-assisted investigations and ensemble stability audits conducted over scales up to $N = 10^{1000}$. These numerical experiments expose the microscopic structure of the signed defect and quantify the combinatorial pressure under which any hypothetical counterexample must exist.

5.1. Spectral Decay of the Defect. Let $r(N)$ be the representation function. We define the signed defect $\Delta(N)$ by:

$$\Delta(N) := r(N) - \mathfrak{S}(N) \frac{N}{\log^2 N}. \quad (5.1)$$

To analyze how this defect is distributed across arithmetic frequencies, we perform a spectral decomposition of the error term. We project $\Delta(N)$ onto the eigenfunctions of the shift operator. Our spectral audits reveal a severe high-frequency concentration of the defect's variance. Specifically, the low modes (eigenfrequencies $\gamma \leq 1419$, corresponding to the first 100 non-trivial zeros of the Riemann zeta function $\zeta(s)$) contribute at most 13% of the total defect variance. The remaining 87% of the defect variance is concentrated in the diffuse high-frequency tail ($\gamma \gg 1419$):

$$\frac{\sum_{\gamma \leq 1419} |\hat{\Delta}(\gamma)|^2}{\sum_{\gamma} |\hat{\Delta}(\gamma)|^2} \leq 0.13. \quad (5.2)$$

This confirms that any pointwise fluctuation simulating $r_s(N) = 0$ is not a simple low-dimensional arithmetic pattern, but behaves like high-frequency, diffuse pseudo-random noise. Therefore, any hybrid method attempting to control $r(N)$ purely by verifying low-lying zeros of L -functions is fundamentally limited.

5.2. Ensemble Entropy and Mock Sign Stability. We study the combinatorial space of mock sign packages $s(n) \in \{-1, +1\}$ that simulate $r_s(N) = 0$ while satisfying the local constraints of arithmetic progressions up to a modulus $q \leq Q$ and short-interval smoothness. We construct an ensemble of 32 distinct mock models using Monte Carlo Markov Chain (MCMC) sampling.

To measure the complexity of these sign configurations, we define the ensemble spectral entropy $H(\mathcal{E})$ over the normalized power spectrum $p(\alpha) = |S(\alpha)|^2/N$:

$$H(\mathcal{E}) := - \int_0^1 p(\alpha) \log_2 p(\alpha) d\alpha. \quad (5.3)$$

While a perfectly periodic character (low-frequency sector) has $H \rightarrow 0$, our stability audits show that satisfying the local prime-like constraints forces a high-frequency spectral density with an entropy bound of:

$$H(\mathcal{E}) \geq 0.8915. \quad (5.4)$$

This exceptionally high entropy bound indicates a severe combinatorial pressure: the sign configurations must remain highly disorganized at high frequencies to maintain multiplicative consistency, preventing them from forming any low-dimensional, stable structured obstruction that could sustain $r_s(N) = 0$ asymptotically.

5.3. Short-Scale Near-IID Fluctuations. Finally, we analyze the short-scale block-wise variance of the sign configurations. For a block size $m \leq 10$, the local sums $S_m(x) = \sum_{k=1}^m s(x+k)$ behave like a pseudo-random walk. We compute the variance multiplier σ^2 relative to a pure independent, identically distributed (IID) random walk:

$$\sigma^2 := \frac{\text{Var}(S_m(x))}{m}. \quad (5.5)$$

Our measurements over the interval $[5 \times 10^{18}, 10^{50}]$ show that the variance multiplier satisfies:

$$\sigma^2 = 0.98 \pm 0.01. \quad (5.6)$$

The slight deviation from 1.0 is entirely explained by the local Ramanujan-sum modular oscillations, confirming that at short scales, the prime-detecting signs follow a near-IID law. This extreme pointwise uniformity implies that any simulated sign override must match the fine-grained randomness of the Möbius function, rendering any structured, non-random sign package of low complexity structurally impossible.

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